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TRW

PATENT

Attorney Docket No. 041514-5166



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:) Allowed: October 12, 2005
)
Masakazu OGASAWARA et al.) Confirmation No. 1452
)
Application No.: 10/022,843) Group Art Unit: 2655
)
Filed: December 20, 2001) Examiner: HINDI, NABIL Z
)
For: OPTICAL PICKUP APPARATUS AND)
FOCUSING CONTROL METHOD)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

A Notice to File Corrected Application Papers dated November 29, 2005 has been received in regard to the above-identified application. This application has been considered "incomplete" because:

"Oath/declaration/ADS is missing from the application"

However, in response to a Notice of Missing Parts, a signed Declaration was submitted to the U.S. Patent and Trademark Office on March 19, 2002. A copy of the Transmittal Letter, Combined Declaration and Power of Attorney for Utility Patent Application, and a stamped receipt postcard are attached.

It is respectfully submitted that the present Notice is moot and should be withdrawn.

Respectfully Submitted,

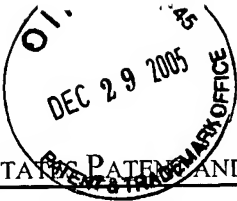
DRINKER BIDDLE & REATH LLP

Date: December 29, 2005
DRINKER BIDDLE & REATH LLP
Customer No. 55694
1500 K Street, N.W., Suite 1100
Washington, D.C. 20005-1209
Tel. No.: 202-842-8800
Fax No.: 202-842-8465

By:

Paul A. Fournier
Registration No. 41,023

faira



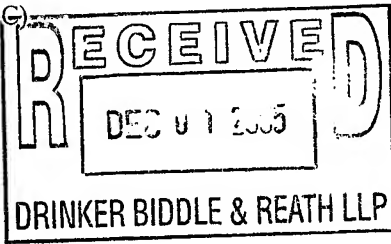
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/022,843	12/20/2001	Masakazu Ogasawara	041514-5166	1452

55694 7590 11/29/2005

DRINKER BIDDLE & REATH (DC)
1500 K STREET, N.W.
SUITE 1100
WASHINGTON, DC 20005-1209



EXAMINER

HINDI, NABIL Z

ART UNIT PAPER NUMBER

2655

DATE MAILED: 11/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Docketed 12-1-05 Attorney JGS
Case 41514-5166
Due Date 12-29-05
Action Corrected Papers
By PSM Chk _____



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Serial Number
10022843

Date Mailed
11/29/05

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- ♦ Oath/declaration/ADS is missing from the application.

APPLICANT MUST SUPPLY MISSING INFORMATION WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice MUST be returned with the reply. Please address response to Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

Rori Burch
USPTO
Publishing Division
Fax (703) 746-6830
Fax (703) 308-6642
703-305-0333 ext.135 (V)

COPY



PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:

In re Application of:)
)
Masakazu OGASAWARA, et al.)
)
Application No.: 10/022,843) Group Art Unit: 2651
)
Filed: December 20, 2001) Examiner: Unassigned

For: OPTICAL PICKUP APPARATUS AND FOCUSING CONTROL METHOD

1. Please Charge Deposit Account No. 50-0310 in the amount of \$946.00
2. Response to Notice to File Missing Parts
3. Copy of Notice to File Missing Parts
4. Declaration and Power of Attorney
5. Assignment Recordation Cover Sheet
6. Assignment
7. Claim for Priority
8. Certified copy of Japanese Patent Application Nos. 2000-388541 and 2001-27301
9. Submission of Formal Drawings
10. Twenty-one (21) sheets of Formal Drawings containing twenty-three (23) figures

Dated: March 19, 2002
Attorney Docket No.: 041514-5166
JGS/gm



DOCKETED

By BB Date 3/20/02



PATENT
ATTORNEY DOCKET NO.: 041514-5166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Masakazu OGASAWARA, et al.)
)
Application No.: 10/022,843) Group Art Unit: 2651
)
Filed: December 20, 2001) Examiner: Unassigned

For: **OPTICAL PICKUP APPARATUS AND FOCUSING CONTROL METHOD**

Commissioner of Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed January 22, 2002.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

- ☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$_____

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicant hereby petitions for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

- ☒ An assignment of the invention to Pioneer Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

				Fee Code	
Basic Fee			\$740.00	101/201	
	Number Filed	Number Extra	at a Rate of (Lg./Sm.Entity)		
Total Claims	22 - 20 =	2	\$18/\$9	\$ 36.00	103/203
Independent Claims	3 - 3 =	0	\$84/\$42	\$	101/202
Multiple dependent claim(s), if any			\$280/\$140	\$	104/204
SUB-TOTAL =			\$776.00		
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))			\$130/\$65	\$130.00	105/205
Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))			\$110/\$55 (1 Month)		115/215
			\$400/\$200 (2 Months)		116/216
			\$920/\$460 (3 Months)		117/217
			\$1440/\$720 (4 Months)		118/218
			\$1960/\$980 (5 Months)	\$	128/228
SUB-TOTAL =			\$906.00		
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d))			\$130.00	\$	139
Assignment Recordation Fee			\$ 40.00	\$40.00	581
TOTAL FILING FEE =			\$946.00		

8. Fee Payment

- ☐ Enclosed is a check in the amount of \$_ representing the total fee due.
- ☒ The Commissioner is hereby authorized to charge Deposit Account No. 50-0310 in the amount of \$946.00.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☒ Submission of Formal Drawings with twenty-one (21) sheets of drawings containing twenty-three (23) figures
- ☒ Claim for Priority
- ☒ Certified copy of priority document(s): Japanese Patent Application Nos. 2000-388541 and 2001-27301
- ☒ Assignment Recordation Cover Sheet
- ☒ Assignment
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449 with one (1) reference
- ☐ Other: _____

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



John G. Smith, Reg. No. 33,818

Date: March 19, 2002

CUSTOMER NO. 09629
MORGAN, LEWIS & BOCKIUS LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
Telephone: (202) 739-3000
Facsimile: (202) 739-3001